

Failure Modes, Effects and Diagnostic Analysis

Project:

FP01, FP02, FP03, FP04, FP05, FP02G, FP05G, FP03P, FP06P, FP10P and FP15 Solenoid Valves

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Management Summary

This report summarizes the results of the hardware assessment in the form of a Failure Modes, Effects, and Diagnostic Analysis (FMEDA) of the FP01, FP02, FP03, FP04, FP05, FP02G, FP03P, FP06P, FP10P and FP15 Solenoid Valves. A Failure Modes, Effects, and Diagnostic Analysis is one of the steps to be taken to achieve functional safety certification per IEC 61508 of a device. From the FMEDA, failure rates are determined. The FMEDA that is described in this report concerns only the hardware of the FP Solenoid Valves. For full functional safety certification purposes all requirements of IEC 61508 must be considered.

Table 1 gives an overview of the different versions and options that were considered in this FMEDA of the FP Solenoid Valves.

Note: the SIF designer is responsible for determining if any Latching and/or Override functions that a Valve may have is suitable for the application. The end user qualified personnel are responsible for determining if it is safe to manually Latch/Unlatch or Override the Valves position.

Table 1 Version Overview

| FP01, FP02, FP03, FP04 and FP05 | 3 Port, 2 Position Normally Closed/Open Direct-Acting Hydraulic Solenoid Valves, De-Energize to Trip (DTT) Applications | | | |
|--|---|--|--|--|
| FP02G and FP05G | 3 Port, 2 Position Normally Closed/Open Solenoid Valve for Gas Service, De-Energize to Trip (DTT) Applications | | | |
| FP03P, FP06P and FP10P | 3 Port, 2 Position Normally Closed/Open Direct-Acting Pneumatic Solenoid Valves, De-Energize to Trip (DTT) Applications | | | |
| FP15 | 3 Port, 2 Position Normally Closed/Open 2 Stage Hydraulic Solenoid Valve, De-Energize to Trip (DTT) Applications | | | |
| Options Included for the above Models: | Single Type 77 or Type 58 Solenoid Operator DC or AC (Wiring Option 1) Coil up to 10W Up to 690 Bar Max Working Pressure Spring Return and Detented Manual Override | | | |

The FP Solenoid Valves are classified as devices that are part of a Type A¹ element according to IEC 61508, having a hardware fault tolerance of 0.

The failure rate data used for this analysis meets the exida criteria for Route 2_H . See Section 5.2. Therefore, the FP Solenoid Valves can be classified as a 2_H device when the listed failure rates are used. When 2_H data is used for all of the devices in an element, then the element meets the hardware architectural constraints up to SIL 2 at HFT=0 (or SIL 3 @ HFT=1) per Route 2_H . If Route 2_H is not applicable for the entire final element, the architectural constraints will need to be evaluated per Route 1_H .

Based on the assumptions listed in 4.3, the failure rates for the FP Solenoid Valves are listed in section 4.4.

These failure rates are valid for the useful lifetime of the product, see Appendix A.

¹ Type A element: "Non-Complex" element (using discrete components); for details see 7.4.4.1.2 of IEC 61508-2, ed2, 2010.



The failure rates listed in this report are based on over 350 billion unit operating hours of process industry field failure data. The failure rate predictions reflect realistic failures and include site specific failures due to human events for the specified Site Safety Index (SSI), see section 4.2.2.

A user of the FP Solenoid Valves can utilize these failure rates in a probabilistic model of a safety instrumented function (SIF) to determine suitability in part for safety instrumented system (SIS) usage in a particular safety integrity level (SIL).



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1 Purpose and Scope

This document shall describe the results of the hardware assessment in the form of the Failure Modes, Effects and Diagnostic Analysis carried out on the FP Solenoid Valves. From this, failure rates for each failure mode/category, useful life, and proof test coverage are determined.

The information in this report can be used to evaluate whether an element meets the average Probability of Failure on Demand (PFD_{avg}) requirements and if applicable, the architectural constraints / minimum hardware fault tolerance requirements per IEC 61508 / IEC 61511.

A FMEDA is part of the effort needed to achieve full certification per IEC 61508 or other relevant functional safety standard.



2 Project Management

2.1 exida

exida is one of the world's leading accredited Certification Bodies and knowledge companies specializing in automation system safety, availability, and cybersecurity with over 500-person years of cumulative experience in functional safety, alarm management, and cybersecurity. Founded by several of the world's top reliability and safety experts from manufacturers, operators and assessment organizations, exida is a global corporation with offices around the world. exida offers training, coaching, project-oriented consulting services, safety engineering tools, detailed product assurance and ANSI accredited functional safety and cybersecurity certification. exida maintains a comprehensive failure rate and failure mode database on electronic and mechanical equipment and a comprehensive database on solutions to meet safety standards such as IEC 61508.

2.2 Roles of the parties involved

Bifold Fluidpower Ltd. Manufacturer of the FP Solenoid Valves

exida Performed the hardware assessment

Bifold Fluidpower Ltd. contracted *exida* with the hardware assessment of the above-mentioned device.

2.3 Standards and literature used

The services delivered by *exida* were performed based on the following standards / literature.

| [N1] | IEC 61508-2: ed2, 2010 | Functional Safety of Electrical/Electronic/Programmable Electronic Safety-Related Systems |
|------|--|--|
| [N2] | Mechanical Component Reliability Handbook, 4th Edition, 2016 | exida LLC, Electrical & Mechanical Component Reliability Handbook, Fourth Edition, 2016 (pending publication, not publicly available at the time of this report) |
| [N3] | Safety Equipment Reliability Handbook, 3rd Edition, 2007 | exida LLC, Safety Equipment Reliability Handbook, Third Edition, 2007, ISBN 978-0-9727234-9-7 |
| [N4] | Goble, W.M., 2010 | Control Systems Safety Evaluation and Reliability, 3 rd edition, ISA, ISBN 97B-1-934394-80-9. Reference on FMEDA methods |
| [N5] | IEC 60654-1:1993-02, second edition | Industrial-process measurement and control equipment – Operating conditions – Part 1: Climatic condition |
| [N6] | O'Brien, C. & Bredemeyer, L., 2009 | exida LLC., Final Elements & the IEC 61508 and IEC Functional Safety Standards, 2009, ISBN 978-1-9934977-01-9 |
| [N7] | Scaling the Three Barriers, Recorded Web Seminar, June 2013 | http://www.exida.com/Webinars/Recordings/SIF- Verification-Scaling-the-Three-Barriers |



| [N8] | Meeting Architecture Constraints in SIF Design, Recorded Web Seminar, March 2013 | http://www.exida.com/Webinars/Recordings/Meeting- Architecture-Constraints-in-SIF-Design |
|-------|---|---|
| [N9] | Random versus Systematic – Issues and Solutions, September 2016 | http://www.exida.com/Resources/Whitepapers/random-versus-systematic-failures-issues-and-solutions |
| [N10] | Bukowski, J.V. and Chastain-Knight, D., April 2016 | Assessing Safety Culture via the Site Safety Index [™] , Proceedings of the AIChE 12th Global Congress on Process Safety, GCPS2016, TX: Houston |
| [N11] | Bukowski, J.V. and Stewart, L.L., April 2016 | Quantifying the Impacts of Human Factors on Functional Safety, Proceedings of the 12th Global Congress on Process Safety, AIChE 2016 Spring Meeting, NY: New York |
| [N12] | Criteria for the Application of IEC 61508:2010 Route 2H, December 2016 | exida White Paper, Sellersville, PA www.exida.com |
| [N13] | Goble, W.M. and Brombacher, A.C., November 1999, Vol. 66, No. 2 | Using a Failure Modes, Effects and Diagnostic Analysis (FMEDA) to Measure Diagnostic Coverage in Programmable Electronic Systems, Reliability Engineering and System Safety, Vol. 66, No. 2, November 1999. |

2.4 Reference documents

2.4.1 Documentation provided by Bifold Fluidpower Ltd.

| [D1] | 0-SC0003, Rev 9, 07-Apr-17 | Type 27 & 77 Exd Solenoid Operator Assy Dwg |
|-------|--------------------------------|--|
| [D2] | 0-SC0004, Rev 0, 22-Apr-09 | Solenoid Lid Options Assy Dwg |
| [D3] | 0-SC0008, Rev 1, 29-Mar-11 | Override Cover Assy Assy Dwg |
| [D4] | A0897, Rev 2, 13-Dec-11 | FP01/SX/M/32/NC/X-7X Assy Dwg |
| [D5] | A1406, Rev 0, 19-Jan-16 | FP0X/XX/M/32/X-04 Assy Dwg |
| [D6] | 0-GA0326, Rev 1, 5-Jul-11 | Type 58 Solenoid Assy Dwg |
| [D7] | BFD87, Nov 2013 | Model FP01 Solenoid Valves, Product Brochure |
| [D8] | 0-GA0186, Rev 1, 17-Jun-09 | FP06P-S1-M7-32-NC-X-XX-01 Assy Dwg |
| [D9] | 0-GA0268, Rev 0, 26-Apr-10 | FP10P-S1-04-32-NU-X-XX-02 Assy Dwg |
| [D10] | A0994, Rev 3, 28-Nov-13 | FP05 Gas Service Assy Dwg |
| [D11] | A0463, Rev 1, 4-Nov-08 | FP15/SX/0X/32/X - 97X Assy Dwg |
| [D12] | OPB.0034, Rev 3, 9-May-16 | Type 58 Series Solenoid Operator, Installation Manual |
| [D13] | A1205, Rev 0, 8-Nov-13 | FP02 Gas Service Assy Dwg |
| [D14] | 06 - FP15 Solenoid.pdf | Brochure, Solenoid Valve Model FP15 |
| [D15] | 09 - FP06P-FP10P- FP12P.pdf | Brochure, Direct Acting Solenoid Valve Models FP06P, FP10P & FP12P |



| [D16] | 06 - FP15 Solenoid.pdf | Brochure, Solenoid Valve Model FP15 |
|-------|------------------------|-------------------------------------|
| [D17] | A1885, Rev 0 | FP0X/SX/M/32/SV/X/LCF Assy Dwg |
| [D18] | A1256, Rev 0 | FP15/H1A/M/22/X – NO Assy Dwg |
| [D19] | A1881, Rev 0 | FP0X/SX/M/32/NC/X/LCF Assy Dwg |
| [D20] | A1883, Rev 0 | FP0X/SX/M/32/NO/X/LCF Assy Dwg |
| [D21] | A1043, Rev 1 | FP15/L1/04/22/X-H2S-03 Assy Dwg |
| [D22] | A1120, Rev 1 | FP15/L1/0X/32/X-03 Assy Dwg |
| [D23] | A1138, Rev 0 | FP15E/L2/04/32/X-NO-03 Assy Dwg |

2.4.2 Documentation generated by exida

| [R1] | Bifold FP Solenoids FMEDA | Failure Modes, Effects, and Diagnostic Analysis – FP |
|------|---------------------------|--|
| | R2_3.xlsx, 14-Nov-2023 | Solenoid Valves (internal document) |



Product Description

The Bifold Fluidpower Ltd. FP01, FP02, FP03, FP04, FP05, FP02G, FP05G, FP03P, FP06P, FP10P and FP15 Solenoid Valves are three-way, 3 port / 2 position Solenoid operated Valves.

Table 2 gives an overview of the different versions and options that were considered in the FMEDA of the FP Solenoid Valves. Models included in this analysis are devices with a single Type 77 or Type 58 Solenoid (up to 10W) with a DC Coil or AC Coil (Wiring Option 1). Only De-energize to Trip applications have been evaluated in this FMEDA. The failure rates in this report also include the optional Spring Return Manual Override, Detented Manual Override and the Manual Reset Cover Assembly.

Note: the SIF designer is responsible for determining if any Latching and/or Override functions that a Valve may have is suitable for the application. The end user qualified personnel are responsible for determining if it is safe to manually Latch/Unlatch or Override the Valves position.



Figure 1 Typical FP Solenoid Valves covered in this FMEDA

Table 2 Version Overview

| FP01, FP02, FP03, FP04 and FP05 | 3 Port, 2 Position Normally Closed/Open Direct-Acting Hydraulic Solenoid Valves, De-Energize to Trip (DTT) Applications |
|--|--|
| FP02G and FP05G | 3 Port, 2 Position Normally Closed/Open Solenoid Valve for Gas Service, De-Energize to Trip (DTT) Applications |
| FP03P, FP06P and FP10P | 3 Port, 2 Position Normally Closed/Open Direct-Acting Pneumatic Solenoid Valves, De-Energize to Trip (DTT) Applications |
| FP15 | 3 Port, 2 Position Normally Closed/Open 2 Stage Hydraulic Solenoid Valve, De-Energize to Trip (DTT) Applications |
| Options Included for the above Models: | Single Type 77 or Type 58 Solenoid Operator DC or AC (Wiring Option 1) Coil up to 10W Up to 690 Bar Max Working Pressure Spring Return and Detented Manual Override |



The FP Solenoid Valves are classified as devices that are part of a Type A2 element according to IEC 61508, having a hardware fault tolerance of 0.

² Type A element: "Non-Complex" element (using discrete components); for details see 7.4.4.1.2 of IEC 61508-2, ed2, 2010.



4 Failure Modes, Effects, and Diagnostic Analysis

The Failure Modes, Effects, and Diagnostic Analysis was performed based on the documentation listed in section 2.4.1 and is documented in [R1].

4.1 Failure categories description

In order to judge the failure behavior of the FP Solenoid Valves, the following definitions for the failure of the device were considered.

Fail-Safe State: State where the solenoid is de-energized and Service port pressure is

released.

Fail Safe Failure that causes the device to go to the defined fail-safe state

without a demand from the process.

Fail Dangerous Failure that does not respond to a demand from the process (i.e. being

unable to go to the defined fail-safe state).

Fail Dangerous Undetected Failure that is dangerous and that is not being diagnosed by automatic

diagnostics, such as Partial Valve Stroke Testing.

Fail Dangerous Detected Failure that is dangerous but is detected by automatic diagnostics,

such as Partial Valve Stroke Testing.

No Effect Failure of a component that is part of the safety function but that has

no effect on the safety function.

Failure that causes gas, hydraulic fluids or operating media to leak External Leakage

> outside of the valve. External Leakage is not considered part of the safety function and therefore this failure rate is not included in any of the numbers. External leakage failure rates should be reviewed for

secondary safety and environmental issues.

The failure categories listed above expand on the categories listed in IEC 61508 in order to provide a complete set of data needed for design optimization.

4.2 Methodology – FMEDA, failure rates

4.2.1 FMEDA

A FMEDA (Failure Mode Effect and Diagnostic Analysis) is a failure rate prediction technique based on a study of design strength versus operational profile stress in each application. It combines design FMEA techniques with extensions to identify automatic diagnostic techniques and the failure modes relevant to safety instrumented system design. It is a technique recommended to generate failure rates for each failure mode category [N13].



4.2.2 Failure rates

The accuracy of any FMEDA analysis depends upon the component reliability data as input to the process. Component data from consumer, transportation, military or telephone applications could generate failure rate data unsuitable for the process industries. The component data used by *exida* in this FMEDA is from the Electrical and Mechanical Component Reliability Handbooks [N2] which were derived using over 350-billion-unit operational hours of process industry field failure data from multiple sources and failure data from various databases. The component failure rates are provided for each applicable operational profile and application, see Appendix C. The *exida* profile chosen for this FMEDA was Profile 5 (Offshore Equipment) as this was judged to be the best fit for the product and application information submitted by Bifold Fluidpower Ltd. It is expected that the actual number of field failures will be less than the number predicted by these failure rates.

Early life failures (infant mortality) are not included in the failure rate prediction as it is assumed that some level of commission testing is done. End of life failures are not included in the failure rate prediction as useful life is specified.

The failure rates are predicted for a Site Safety Index of SSI=2 ([N10] & [N11]) as this level of operation is common in the process industries. Failure rate predictions for other SSI levels are included in the exSILentia® tool from *exida*.

The user of these numbers is responsible for determining the failure rate applicability to any particular environment. *exida* Environmental Profiles listing expected stress levels can be found in Appendix C. Some industrial plant sites have high levels of stress. Under those conditions the failure rate data is adjusted to a higher value to account for the specific conditions of the plant. *exida* has detailed models available to make customized failure rate predictions (Contact *exida*).

Accurate plant specific data may be used to check validity of this failure rate data. If a user has data collected from a good proof test reporting system such as exida SILStatTM that indicates higher failure rates, the higher numbers shall be used.

4.3 Assumptions

The following assumptions have been made during the Failure Modes, Effects, and Diagnostic Analysis of the FP Solenoid Valves.

- The worst case assumption of a series system is made. Therefore, only a single component failure will fail the entire FP Solenoid Valves, and propagation of failures is not relevant.
- Failure rates are constant for the useful life period.
- Any product component that cannot influence the safety function (feedback immune) is excluded. All components that are part of the safety function including those needed for normal operation are included in the analysis.
- The stress levels are specified in the *exida* Profile used for the analysis limited by the manufacturer's published ratings.
- Materials are compatible with the environmental and process conditions.
- Clean and dry operating air is used per ANSI/ISA-7.0.01-1996 Quality Standard for Instrument Air.
- Clean and filtered hydraulic fluid is used per the manufacturer's recommendations and requirements.



- The device is installed and operated per the manufacturer's instructions.
- Breakage or plugging of air / hydraulic inlet and outlet lines has not been included in the analysis.
- Loss of the Air Pressure supply is not included in these failure rates.
- Loss of the hydraulic supply pressure due to causes outside of the Valve is not included in these failure rates.
- Valves with Latching and/or Override options are only used in applications where the use of the Latching and/or Override will not put the system in a dangerous condition.
- In order to claim diagnostic coverage for Partial Valve Stroke Testing it is automatically performed at a rate at least ten times faster than the Demand frequency.
- Partial Valve Stroke Testing of the Safety Instrumented Function provides a full cycle test of the solenoid/pilot valve. In cases where this is not true, another method must be used to perform a full Valve cycle during automated diagnostics in order to use the PVST numbers.
- Partial Valve Stroke Testing of the final element includes position detection from actuator top mounted position sensors, typical of quarter turn installations.
- Worst-case internal fault detection time is the PVST test interval time.



4.4 Results

Using reliability data extracted from the *exida* Electrical and Mechanical Component Reliability Handbook the following failure rates resulted from the FMEDA analysis of the FP Solenoid Valves.

Table 3 thru Table 6 lists the failure rates for the FP Solenoid Valves according to IEC 61508 with a Site Safety Index (SSI) of 2 (good site maintenance practices). See Appendix E for an explanation of SSI and the failure rates for SSI of 4 (ideal maintenance practices).

Table 3 DTT Failure rates for Static Applications³ with Good Maintenance Assumptions in FIT (SSI=2)

| Device/Application/Configuration | $\lambda_{	extsf{SD}}$ | λ _{SU} ⁴ | $\lambda_{	extsf{DD}}$ | $\lambda_{	extsf{DU}}$ | # | E |
|---|------------------------|------------------------------|------------------------|------------------------|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT | 0 | 179 | 0 | 137 | 450 | 193 |
| FP03P, FP06P and FP10P; NC, DTT | 0 | 142 | 0 | 104 | 270 | 199 |
| FP15; NC, DTT | 0 | 266 | 0 | 227 | 933 | 250 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT; with automated PVST | 172 | 7 | 122 | 15 | 450 | 193 |
| FP03P, FP06P and FP10P; NC, DTT; with automated PVST | 135 | 7 | 92 | 12 | 270 | 199 |
| FP15; NC, DTT; with automated PVST | 258 | 8 | 203 | 24 | 933 | 250 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT | 0 | 128 | 0 | 183 | 440 | 193 |
| FP03P, FP06P and FP10P; NO, DTT | 0 | 125 | 0 | 117 | 259 | 199 |
| FP15; NO, DTT | 0 | 163 | 0 | 325 | 938 | 251 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT; with automated PVST | 122 | 6 | 163 | 20 | 440 | 193 |
| FP03P, FP06P and FP10P; NO, DTT; with automated PVST | 119 | 6 | 103 | 14 | 259 | 199 |
| FP15; NO, DTT; with automated PVST | 156 | 7 | 290 | 35 | 938 | 251 |

³ Static Application failure rates are applicable if the device is static for a period of more than 200 hours.

⁴ It is important to realize that the No Effect failures are no longer included in the Safe Undetected failure category according to IEC 61508, ed2, 2010.



Table 4 ETT Failure rates for Static Applications⁵ with Good Maintenance Assumptions in FIT (SSI=2)

| Device/Application/Configuration | $\lambda_{	extsf{SD}}$ | λ _{SU} ⁶ | $\lambda_{	extsf{DD}}$ | λ _{DU} | # | E |
|---|------------------------|------------------------------|------------------------|-----------------|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT | 0 | 36 | 0 | 274 | 440 | 193 |
| FP03P, FP06P and FP10P; NC, ETT | 0 | 30 | 0 | 212 | 259 | 199 |
| FP15; NC, ETT | 0 | 106 | 0 | 381 | 938 | 251 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT; with automated PVST | 29 | 7 | 257 | 17 | 440 | 193 |
| FP03P, FP06P and FP10P; NC, ETT; with automated PVST | 23 | 7 | 199 | 13 | 259 | 199 |
| FP15; NC, ETT; with automated PVST | 95 | 11 | 356 | 25 | 938 | 251 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT | 0 | 104 | 0 | 207 | 440 | 193 |
| FP03P, FP06P and FP10P; NO, ETT | 0 | 72 | 0 | 170 | 259 | 199 |
| FP15; NO, ETT | 0 | 157 | 0 | 335 | 933 | 251 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT; with automated PVST | 98 | 6 | 191 | 15 | 440 | 193 |
| FP03P, FP06P and FP10P; NO, ETT; with automated PVST | 66 | 6 | 158 | 12 | 259 | 199 |
| FP15; NO, ETT; with automated PVST | 150 | 7 | 307 | 28 | 933 | 251 |

⁵ Static Application failure rates are applicable if the device is static for a period of more than 200 hours.
⁶ It is important to realize that the No Effect failures are no longer included in the Safe Undetected failure category according to IEC 61508, ed2, 2010.



Table 5 DTT Failure rates for Dynamic Applications⁷ with Good Maintenance Assumptions in FIT (SSI=2)

| Device/Application/Configuration | λ _{SD} | λ _{su} ⁸ | λ_{DD} | λου | # | E |
|---|-----------------|------------------------------|----------------|-----|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT | 0 | 181 | 0 | 90 | 475 | 203 |
| FP03P, FP06P and FP10P; NC, DTT | 0 | 145 | 0 | 75 | 281 | 214 |
| FP15; NC, DTT | 0 | 273 | 0 | 147 | 994 | 271 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT; with automated PVST | 174 | 7 | 79 | 11 | 475 | 203 |
| FP03P, FP06P and FP10P; NC, DTT; with automated PVST | 138 | 7 | 66 | 9 | 281 | 214 |
| FP15; NC, DTT; with automated PVST | 264 | 9 | 130 | 17 | 994 | 271 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT | 0 | 127 | 0 | 140 | 463 | 193 |
| FP03P, FP06P and FP10P; NO, DTT | 0 | 127 | 0 | 89 | 268 | 214 |
| FP15; NO, DTT | 0 | 162 | 0 | 249 | 987 | 261 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT; with automated PVST | 121 | 6 | 124 | 16 | 463 | 193 |
| FP03P, FP06P and FP10P; NO, DTT; with automated PVST | 121 | 6 | 78 | 11 | 268 | 214 |
| FP15; NO, DTT; with automated PVST | 155 | 7 | 222 | 27 | 987 | 261 |

⁷ Dynamic Application failure rates may be used if the device moves at least once every 200 hours.

⁸ It is important to realize that the No Effect failures are no longer included in the Safe Undetected failure category according to IEC 61508, ed2, 2010.



Table 6 ETT Failure rates for Dynamic Applications⁹ with Good Maintenance Assumptions in FIT (SSI=2)

| Device/Application/Configuration | λ _{SD} | λ _{su} 10 | λ_{DD} | λου | # | E |
|---|-----------------|--------------------|----------------|-----|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT | 0 | 36 | 0 | 231 | 463 | 193 |
| FP03P, FP06P and FP10P; NC, ETT | 0 | 31 | 0 | 185 | 269 | 214 |
| FP15; NC, ETT | 0 | 107 | 0 | 304 | 987 | 261 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT; with automated PVST | 28 | 8 | 218 | 13 | 463 | 193 |
| FP03P, FP06P and FP10P; NC, ETT; with automated PVST | 24 | 7 | 175 | 10 | 269 | 214 |
| FP15; NC, ETT; with automated PVST | 96 | 11 | 286 | 18 | 987 | 261 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT | 0 | 107 | 0 | 160 | 463 | 193 |
| FP03P, FP06P and FP10P; NC, ETT | 0 | 75 | 0 | 141 | 269 | 214 |
| FP15; NO, ETT | 0 | 161 | 0 | 254 | 982 | 261 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT; with automated PVST | 101 | 6 | 150 | 10 | 463 | 193 |
| FP03P, FP06P and FP10P; NO, ETT; with automated PVST | 69 | 6 | 132 | 9 | 269 | 214 |
| FP15; NO, ETT; with automated PVST | 154 | 7 | 234 | 20 | 982 | 261 |

Where:

 λ_{SD} = Fail Safe Detected

λ_{SU} = Fail Safe Undetected

 λ_{DD} = Fail Dangerous Detected

λ_{DU} = Fail Dangerous Undetected

= No Effect Failures

E = External Leaks

As the External Leak failure rates are a subset of the No Effect failure rates, the total No Effect failure rate is the sum of the listed No Effect and External Leak rates. External leakage failure rates do not directly contribute to the reliability of the device but should be reviewed for secondary safety and environmental issues.

These failure rates are valid for the useful lifetime of the product, see Appendix A.

⁹ Dynamic Application failure rates may be used if the device moves at least once every 200 hours.

¹⁰ It is important to realize that the No Effect failures are no longer included in the Safe Undetected failure category according to IEC 61508, ed2, 2010.



According to IEC 61508-2 the architectural constraints of an element must be determined. This can be done by following the $1_{\rm H}$ approach according to 7.4.4.2 of IEC 61508-2 or the $2_{\rm H}$ approach according to 7.4.4.3 of IEC 61508-2, or the approach according to IEC 61511:2016 which is based on $2_{\rm H}$ (see Section 5.2).

The 1_H approach involves calculating the Safe Failure Fraction for the entire element.

The 2_H approach involves assessment of the reliability data for the entire element according to 7.4.4.3.3 of IEC 61508.

The failure rate data used for this analysis meets the *exida* criteria for Route 2_H which is more stringent than IEC 61508. Therefore, the FP Solenoid Valves meets the hardware architectural constraints for up to SIL 2 at HFT=0 (or SIL 3 @ HFT=1) when the listed failure rates are used.

If Route 2_H is not applicable for all devices that constitute the entire element, the architectural constraints will need to be evaluated per Route 1_H .

The architectural constraint type for the FP Solenoid Valves is A. The hardware fault tolerance of the device is 0. The SIS designer is responsible for meeting other requirements of applicable standards for any given SIL.

Table 12 and Table 14 lists the failure rates for the FP Solenoid Valves according to IEC 61508 with a Site Safety Index (SSI) of 4 (perfect site maintenance practices). This data should not be used for SIL verification and is provided only for comparison with other analysis than has assumed perfect maintenance. See Appendix E for an explanation of SSI.



Using the FMEDA Results

The following section(s) describe how to apply the results of the FMEDA.

PFD_{avg} calculation FP Solenoid Valves

Using the failure rate data displayed in Table 3, section 4.4, and the failure rate data for the associated element devices, an average Probability of Failure on Demand (PFDavg) calculation can be performed for the entire final element.

Probability of Failure on Demand (PFD_{ava}) calculation uses several parameters, many of which are determined by the particular application and the operational policies of each site. Some parameters are product specific and the responsibility of the manufacturer. Those manufacturer specific parameters are given in this third party report.

Probability of Failure on Demand (PFD_{avg}) calculation is the responsibility of the owner/operator of a process and is often delegated to the SIF designer. Product manufacturers can only provide a PFDava by making many assumptions about the application and operational policies of a site which may be incorrect. Therefore, the use of pre-calculated PFDavg numbers requires complete knowledge of the assumptions and a match with the actual application and site.

Probability of Failure on Demand (PFD_{avg}) calculation is best accomplished with *exida*'s exSILentia tool. See Appendix D for a complete description of how to determine the Safety Integrity Level for the final element. The mission time used for the calculation depends on the PFD_{avg} target and the useful life of the product. The failure rates for all the devices in the final element and the proof test coverage for the final element are required to perform the PFD_{avg} calculation. The proof test coverage for the suggested proof test and the dangerous failure rate after proof test for the FP Solenoid Valves are listed in Appendix B.2. This is combined with the dangerous failure rates after proof test for other devices in the final element to establish the proof test coverage for the final element.

When performing Partial Valve Stroke Testing at regular intervals, the FP Solenoid Valves contributes less to the overall PFD_{avg} of the Safety Instrumented Function.

5.2 exida Route 2_H Criteria

IEC 61508, ed2, 2010 describes the Route 2_H alternative to Route 1_H architectural constraints. The standard states:

"based on data collected in accordance with published standards (e.g., IEC 60300-3-2: or ISO 14224); and, be evaluated according to

- the amount of field feedback; and
- the exercise of **expert judgment**; and when needed
- the undertaking of specific tests,

in order to estimate the average and the uncertainty level (e.g., the 90% confidence interval or the probability distribution) of each reliability parameter (e.g., failure rate) used in the calculations."

exida has interpreted this to mean not just a simple 90% confidence level in the uncertainty analysis. but a high confidence level in the entire data collection process. As IEC 61508, ed2, 2010 does not give detailed criteria for Route 2_H, *exida* has established the following:

- 1. field unit operational hours of 100,000,000 per each component; and
- 2. a device and all of its components have been installed in the field for one year or more; and



- 3. operational hours are counted only when the data collection process has been audited for correctness and completeness; and
- 4. failure definitions, especially "random" vs. "systematic" are checked by exida; and
- 5. every component used in an FMEDA meets the above criteria.

This set of requirements is chosen to assure high integrity failure data suitable for safety integrity verification.



6 Terms and Definitions

Automatic Diagnostics Tests performed online internally by the device or, if specified, externally

by another device without manual intervention.

Device A device is something that is part of an element; but, cannot perform an

element safety function on its own.

Dynamic Applications The movement interval of the final element device is less than 200 hours.

Movement may be accomplished by PVST, full stroke proof testing or a

demand on the system.

Element A collection of devices that perform an element safety function such as

a final element consisting of a logic solver interface, actuator and valve.

exida criteria A conservative approach to arriving at failure rates suitable for use in

hardware evaluations utilizing the 2_H Route in IEC 61508-2.

Fault tolerance Ability of a functional unit to continue to perform a required function in

the presence of faults or errors (IEC 61508-4, 3.6.3).

FIT Failure in Time (1x10⁻⁹ failures per hour)

FMEDA Failure Mode Effect and Diagnostic Analysis

HFT Hardware Fault Tolerance

High demand Mode Mode, where the demand interval for operation made on a safety-related

system is less than twice the proof test interval.

Low demand mode Mode, where the demand interval for operation made on a safety-related

system is greater than twice the proof test interval.

PFD_{avg} Average Probability of Failure on Demand

PVST Partial Valve Stroke Test - It is assumed that Partial Valve Stroke

Testing, when performed, is automatically performed at least an order of magnitude more frequently than the proof test; therefore, the test can be assumed an automatic diagnostic. Because of the automatic diagnostic assumption, the Partial Valve Stroke Testing also has an impact on the

Safe Failure Fraction.

Random Capability The SIL limit imposed by the Architectural Constraints for each element.

Severe Service Condition that exists when material through the valve has abrasive

particles, as opposed to Clean Service where these particles are absent.

SFF Safe Failure Fraction, summarizes the fraction of failures which lead to

a safe state plus the fraction of failures which will be detected by automatic diagnostic measures and lead to a defined safety action.

SIF Safety Instrumented Function

SIL Safety Integrity Level

SIS Safety Instrumented System – Implementation of one or more Safety

Instrumented Functions. A SIS is composed of any combination of

sensor(s), logic solver(s), and final element(s).

SSI Site Safety Index (See Appendix E)



Static Applications The movement interval of the final element device is greater than 200

hours. Movement may be accomplished by PVST, full stroke proof

testing or a demand on the system.

Type A element "Non-Complex" element (using discrete components); for details see

7.4.4.1.2 of IEC 61508-2

Type B element "Complex" element (using complex components such as micro

controllers or programmable logic); for details see 7.4.4.1.3 of IEC

61508-2



7 Status of the Document

7.1 Liability

exida prepares FMEDA reports based on methods advocated in International standards. Failure rates are obtained from *exida* compiled field failure data and a collection of industrial databases. *exida* accepts no liability whatsoever for the use of these numbers or for the correctness of the standards on which the general calculation methods are based.

Due to future potential changes in the standards, product design changes, best available information and best practices, the current FMEDA results presented in this report may not be fully consistent with results that would be presented for the identical model number product at some future time. As a leader in the functional safety market place, exida is actively involved in evolving best practices prior to official release of updated standards so that our reports effectively anticipate any known changes. In addition, most changes are anticipated to be incremental in nature and results reported within the previous three-year period should be sufficient for current usage without significant question.

Most products also tend to undergo incremental changes over time. If an *exida* FMEDA has not been updated within the last three years, contact the product vendor to verify the current validity of the results.

7.2 Version History

Note: This report supersedes all previous versions of report BIF 06/01-17 R001

| Contract Number | Report Number | Revision Notes |
|--------------------|---------------------------|--|
| Q23/09-025 | BIF 17/05-128 R001 V1, R5 | Added NO failure rates, November 13, 2023, TBF |
| Q22/11-167 | BIF 17/05-128 R001 V1, R4 | Added ETT failure rates, July 13, 2023, REG |
| Q21/03-178 | BIF 17/05-128 R001 V1, R3 | Analyzed stainless steel gauze filter; GPS |
| Q17/05-128 | BIF 17/05-128 R001 V1, R2 | Added FP02G, October 13, 2017 |
| Q17/05-128 | BIF 17/05-128 R001 V1, R1 | Updated FMEDA analysis and added Dynamic failure rates |

Reviewer: V1, R5: Bob Gavin, *exida*, November 14, 2023

Status: Released, November 14, 2023

7.3 Future enhancements

At request of client.



7.4 Release signatures

Oluwatobi Falomo, Safety Engineer

Robert Gavin III, MSME, CFSE,

Senior Safety Engineer



Appendix A Lifetime of Critical Components

According to section 7.4.9.5 of IEC 61508-2, a useful lifetime, based on experience, should be determined and used to replace equipment before the end of useful life.

Although a constant failure rate is assumed by the *exida* FMEDA prediction method (see section 4.2.2) this only applies provided that the useful lifetime¹¹ of components is not exceeded. Beyond their useful lifetime the result of the probabilistic calculation method is therefore meaningless, as the probability of failure significantly increases with time. The useful lifetime is highly dependent on the subsystem itself and its operating conditions.

This assumption of a constant failure rate is based on the bathtub curve. Therefore, it is obvious that the PFD_{avg} calculation is only valid for components that have this constant domain and that the validity of the calculation is limited to the useful lifetime of each component.

It is the responsibility of the end user to maintain and operate the FP Solenoid Valves per manufacturer's instructions. Furthermore, regular inspection should show that all components are clean and free from damage.

A major factor influencing the useful life is the air quality / quality of the hydraulic oil used.

Based on general field failure data a useful life period of approximately 10 years is expected for the FP Solenoid Valves.

For high demand mode applications, the useful lifetime is limited by the number of cycles. The useful lifetime is > 10,000 full scale cycles or 8 to 10 years, whichever results in the shortest lifetime.

When plant/site experience indicates a shorter useful lifetime than indicated in this appendix, the number based on plant/site experience should be used.

¹¹ Useful lifetime is a reliability engineering term that describes the operational time interval where the failure rate of a device is relatively constant. It is not a term which covers product obsolescence, warranty, or other commercial issues.



Appendix B Proof Tests to Reveal Dangerous Undetected Faults

According to section 7.4.5.2 f) of IEC 61508-2, proof tests shall be undertaken to reveal dangerous faults which are undetected by automatic diagnostic tests. This means that it is necessary to specify how dangerous undetected faults which have been noted during the Failure Modes, Effects, and Diagnostic Analysis can be detected during proof testing.

B.1 Suggested Proof Test

The suggested Proof Test consists of a full stroke of the associated device, see Table 7. Refer to the tables in B.2 for the Proof Test Coverages.

Table 7 Suggested Proof Test - FP Solenoid Valves

| Step | Action |
|------|--|
| 1. | Bypass the safety function and take appropriate action to avoid a false trip. |
| 2. | Interrupt or change the signal to De-energize the Solenoid and to force the Actuator/Valve assembly to the Fail-Safe state and confirm that the Safe State was achieved and within the correct time. |
| | Note:-This tests for all failures that could prevent the functioning of the Control Valve as well as the rest of the final control element. |
| 3. | Inspect the Solenoid Valve for any leaks, visible damage or contamination |
| 4. | Re-store the original input to the Solenoid Valve and confirm that the normal operating state was achieved. |
| 5. | Remove the bypass and otherwise restore normal operation. |

For the test to be effective the movement of the Final Element Valve must be confirmed. To confirm the effectiveness of the test both the travel of the Valve and slew rate must be monitored and compared to expected results to validate the testing.

B.2 Proof Test Coverage

The Proof Test Coverage for the various device configurations is given in Table 8 and in Table 9 for Dynamic Applications.



Table 8 Proof Test Results - Static Applications FP Solenoid Valves

| Davis / Application | λ _{DU} PT ¹² | Proof Test Coverage | | | |
|--|----------------------------------|---------------------|-----------|--|--|
| Device / Application | (FIT) No P | | with PVST | | |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; DTT | 9.6 | 93% | 36% | | |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; ETT | 11 | 96% | 36% | | |
| FP03P, FP06P and FP10P; DTT | 6.6 | 94% | 45% | | |
| FP03P, FP06P and FP10P; ETT | 7.7 | 96% | 41% | | |
| FP15; DTT | 15 | 93% | 38% | | |
| FP15; ETT | 17 | 96% | 33% | | |

Table 9 Proof Test Results - Dynamic Applications FP Solenoid Valves

| Device / Application | λ _{DU} PT ¹³ | Proof Test Coverage | | | |
|--|----------------------------------|---------------------|-----------|--|--|
| Device / Application | (FIT) | No PVST | with PVST | | |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; DTT | 5.1 | 94% | 54% | | |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; ETT | 6.6 | 97% | 50% | | |
| FP03P, FP06P and FP10P; DTT | 3.6 | 95% | 60% | | |
| FP03P, FP06P and FP10P; ETT | 4.7 | 97% | 54% | | |
| FP15; DTT | 7.2 | 95% | 58% | | |
| FP15; ETT | 8.8 | 97% | 50% | | |

 $^{^{12}}$ $\lambda_{DU}PT$ = Dangerous undetected failure rate after performing the recommended proof test.

 $^{^{13}}$ $\lambda_{DU}PT$ = Dangerous undetected failure rate after performing the recommended proof test.



Appendix C exida Environmental Profiles

Table 10 exida Environmental Profiles

| exida Profile | 1 | 2 | 3 | 4 | 5 | 6 |
|---|--|---|---|----------------------|------------------------------------|------------------------|
| Description (Electrical) | Cabinet mounted/ Climate Controlled | Low Power Field Mounted no self- heating | General Field Mounted self-heating | Subsea | Offshore | N/A |
| Description (Mechanical) | Cabinet mounted/ Climate Controlled | General Field Mounted | General Field Mounted | Subsea | Offshore | Process Wetted |
| IEC 60654-1 Profile | B2 | C3 also applicable for D1 | C3 also applicable for D1 | N/A | C3 also applicable for D1 | N/A |
| Average Ambient Temperature | 30 C | 25 C | 25 C | 5 C | 25 C | 25 C |
| Average Internal Temperature | 60 C | 30 C | 45 C | 5 C | 45 C | Process Fluid Temp. |
| Daily Temperature Excursion (pk-pk) | 5 C | 25 C | 25 C | 0 C | 25 C | N/A |
| Seasonal Temperature Excursion (winter average vs. summer average) | 5 C | 40 C | 40 C | 2 C | 40 C | N/A |
| Exposed to Elements / Weather Conditions | No | Yes | Yes | Yes | Yes | Yes |
| Humidity ¹⁴ | 0-95% Non- Condensing | 0-100% Condensing | 0-100% Condensing | 0-100% Condensing | 0-100% Condensing | N/A |
| Shock ¹⁵ | 10 g | 15 g | 15 g | 15 g | 15 g | N/A |
| Vibration ¹⁶ | 2 g | 3 g | 3 g | 3 g | 3 g | N/A |
| Chemical Corrosion ¹⁷ | G2 | G3 | G3 | G3 | G3 | Compatible Material |
| Surge ¹⁸ | | | T | T | T | 1 |
| Line-Line | 0.5 kV | 0.5 kV | 0.5 kV | 0.5 kV | 0.5 kV | N/A |
| Line-Ground | 1 kV | 1 kV | 1 kV | 1 kV | 1 kV | 14/74 |
| EMI Susceptibility ¹⁹ | | I | T | T | ı | ı |
| 80 MHz to 1.4 GHz | 10 V/m | 10 V/m | 10 V/m | 10 V/m | 10 V/m | |
| 1.4 GHz to 2.0 GHz | 3 V/m | 3 V/m | 3 V/m | 3 V/m | 3 V/m | N/A |
| 2.0Ghz to 2.7 GHz | 1 V/m | 1 V/m | 1 V/m | 1 V/m | 1 V/m | |
| ESD (Air) ²⁰ | 6 kV | 6 kV | 6 kV | 6 kV | 6 kV | N/A |

<sup>Humidity rating per IEC 60068-2-3
Shock rating per IEC 60068-2-27
Vibration rating per IEC 60068-2-6</sup>

¹⁷ Chemical Corrosion rating per ISA 71.04
18 Surge rating per IEC 61000-4-5
19 EMI Susceptibility rating per IEC 61000-4-3

²⁰ ESD (Air) rating per IEC 61000-4-2



Appendix D Determining Safety Integrity Level

The information in this appendix is intended to provide the method of determining the Safety Integrity Level (SIL) of a Safety Instrumented Function (SIF). **The numbers used in the examples are not for the product described in this report.**

Three things must be checked when verifying that a given Safety Instrumented Function (SIF) design meets a Safety Integrity Level (SIL) [N4] and [N7].

These are:

- A. Systematic Capability or Prior Use Justification for each device meets the SIL level of the SIF;
- B. Architecture Constraints (minimum redundancy requirements) are met; and
- C. a PFD_{avq} calculation result is within the range of numbers given for the SIL level.
- A. Systematic Capability (SC) is defined in IEC61508:2010. The SC rating is a measure of design quality based upon the methods and techniques used to design and development a product. All devices in a SIF must have a SC rating equal or greater than the SIL level of the SIF. For example, a SIF is designed to meet SIL 3 with three pressure transmitters in a 2003 voting scheme. The transmitters have an SC2 rating. The design does not meet SIL 3. Alternatively, IEC 61511 allows the end user to perform a "Prior Use" justification. The end user evaluates the equipment to a given SIL level, documents the evaluation and takes responsibility for the justification.
- B. Architecture constraints require certain minimum levels of redundancy. Different tables show different levels of redundancy for each SIL level. A table is chosen and redundancy is incorporated into the design [N8].
- C. Probability of Failure on Demand (PFD_{avg}) calculation uses several parameters, many of which are determined by the particular application and the operational policies of each site. Some parameters are product specific and the responsibility of the manufacturer. Those manufacturer specific parameters are given in this third party report.

A Probability of Failure on Demand (PFD_{avg}) must be done based on a number of variables including:

- 1. Failure rates of each product in the design including failure modes and any diagnostic coverage from automatic diagnostics (an attribute of the product given by this FMEDA report);
- 2. Redundancy of devices including common cause failures (an attribute of the SIF design);
- 3. Proof Test Intervals (assignable by end user practices);
- 4. Mean Time to Restore (an attribute of end user practices);
- 5. Proof Test Effectiveness; (an attribute of the proof test method used by the end user with an example given by this report);
- 6. Mission Time (an attribute of end user practices);
- 7. Proof Testing with process online or shutdown (an attribute of end user practices);
- 8. Proof Test Duration (an attribute of end user practices); and
- 9. Operational/Maintenance Capability (an attribute of end user practices).

The product manufacturer is responsible for the first variable. Most manufacturers use the *exida* FMEDA technique which is based on over 250 billion hours of field failure data in the process industries to predict these failure rates as seen in this report. A system designer chooses the second variable. All other variables are the responsibility of the end user site. The exSILentia® SILVerTM software considers all these variables and provides an effective means to calculate PFD_{avg} for any given set of variables.

Simplified equations often account for only the first three variables. The equations published in IEC 61508-6, Annex B.3.2 [N1] cover only the first four variables. IEC61508-6 is only an informative



portion of the standard and as such gives only concepts, examples and guidance based on the idealistic assumptions stated. These assumptions often result in optimistic PFD_{avg} calculations and have indicated SIL levels higher than reality. Therefore, idealistic equations should not be used for actual SIF design verification.

All the variables listed above are important. As an example, consider a high-level protection SIF. The proposed design has a single SIL 3 certified level transmitter, a SIL 3 certified safety logic solver, and a single remote actuated valve consisting of a certified solenoid valve, certified scotch yoke actuator and a certified ball valve. Note that the numbers chosen are only an example and not the product described in this report.

Using exSILentia with the following variables selected to represent results from simplified equations:

- Mission Time = 5 years
- Proof Test Interval = 1 year for the sensor and final element, 5 years for the logic solver
- Proof Test Coverage = 100% (ideal and unrealistic but commonly assumed)
- Proof Test done with process offline

This results in a PFD_{avg} of 6.82E-03 which meets SIL 2 with a risk reduction factor of 147. The subsystem PFD_{avg} contributions are Sensor PFD_{avg} = 5.55E-04, Logic Solver PFD_{avg} = 9.55E-06, and Final Element PFD_{avg} = 6.26E-03 (Figure 2).

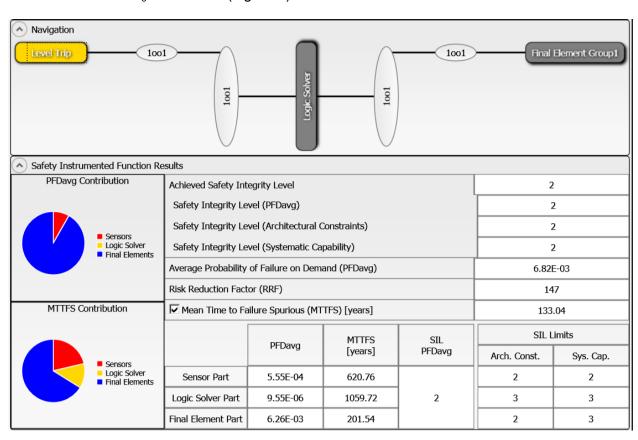


Figure 2: exSILentia results for idealistic variables



If the Proof Test Internal for the sensor and final element is increased in one year increments, the results are shown in Figure 3.

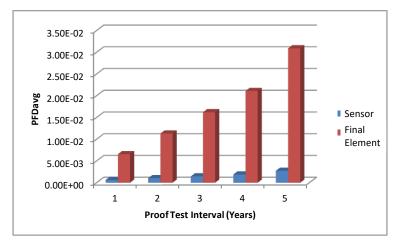


Figure 3: PFD_{avg} versus Proof Test Interval

If a set of realistic variables for the same SIF are entered into the exSILentia software including:

- Mission Time = 25 years
- Proof Test Interval = 1 year for the sensor and final element, 5 years for the logic solver
- Proof Test Coverage = 90% for the sensor and 70% for the final element
- Proof Test Duration = 2 hours with process online.
- MTTR = 48 hours
- Maintenance Capability = Medium for sensor and final element, Good for logic solver

with all other variables remaining the same, the PFD_{avg} for the SIF equals 5.76E-02 which barely meets SIL 1 with a risk reduction factor of 17. The subsystem PFD_{avg} contributions are Sensor PFD_{avg} = 2.77E-03, Logic Solver PFD_{avg} = 1.14E-05, and Final Element PFD_{avg} = 5.49E-02 (Figure 4).



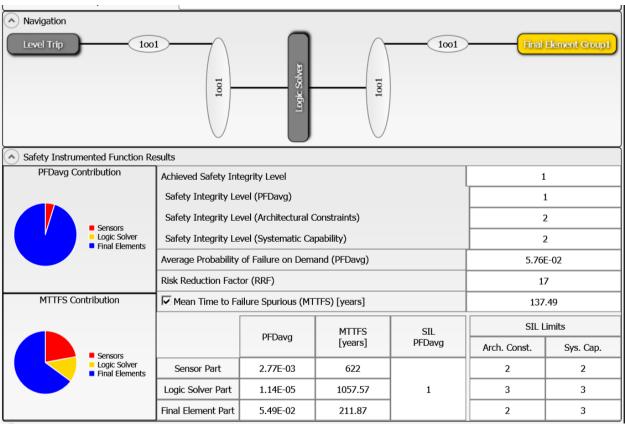


Figure 4: exSILentia results with realistic variables

It is clear that PFD_{avg} results can change an entire SIL level or more when all critical variables are not used.



Appendix E Site Safety Index

Numerous field failure studies have shown that the failure rate for a specific device (same Manufacturer and Model number) will vary from site to site. The Site Safety Index (SSI) was created to account for these failure rates differences as well as other variables. The information in this appendix is intended to provide an overview of the Site Safety Index (SSI) model used by *exida* to compensate for site variables including device failure rates.

E.1 Site Safety Index Profiles

The SSI is a number from 0-4 which is an indication of the level of site activities and practices that contribute to the safety performance of SIF's on the site. Table 11 details the interpretation of each SSI level. Note that the levels mirror the levels of SIL assignment and that SSI 4 implies that all requirements of IEC 61508 and IEC 61511 are met at the site and therefore there is no degradation in safety performance due to any end-user activities or practices, i.e., that the product inherent safety performance is achieved.

Several factors have been identified thus far which impact the Site Safety Index (SSI). These include the quality of:

the quality of:
Commission Test
Safety Validation Test
Proof Test Procedures
Proof Test Documentation
Failure Diagnostic and Repair Procedures
Device Useful Life Tracking and Replacement Process
SIS Modification Procedures
SIS Decommissioning Procedures
and others

Table 11 exida Site Safety Index Profiles

| Level | Description |
|-------|--|
| SSI 4 | Perfect - Repairs are always correctly performed, Testing is always done correctly and on schedule, equipment is always replaced before end of useful life, equipment is always selected according to the specified environmental limits and process compatible materials. Electrical power supplies are clean of transients and isolated, pneumatic supplies and hydraulic fluids are always kept clean, etc. Note: This level is generally considered not possible but retained in the model for comparison purposes. |
| SSI 3 | Almost perfect - Repairs are correctly performed, Testing is done correctly and on schedule, equipment is normally selected based on the specified environmental limits and a good analysis of the process chemistry and compatible materials. Electrical power supplies are normally clean of transients and isolated, pneumatic supplies and hydraulic fluids are mostly kept clean, etc. Equipment is replaced before end of useful life, etc. |
| SSI 2 | Good - Repairs are usually correctly performed, Testing is done correctly and mostly on schedule, most equipment is replaced before end of useful life, etc. |
| SSI 1 | Medium – Many repairs are correctly performed, Testing is done and mostly on schedule, some equipment is replaced before end of useful life, etc. |
| SSI 0 | None - Repairs are not always done, Testing is not done, equipment is not replaced until failure, etc. |



E.2 Site Safety Index Failure Rates – FP Solenoid Valves

Failure rates of each individual device in the SIF are increased or decreased by a specific multiplier which is determined by the SSI value and the device itself. It is known that final elements are more likely to be negatively impacted by less than ideal end-user practices than are sensors or logic solvers. By increasing or decreasing device failure rates on an individual device basis, it is possible to more accurately account for the effects of site practices on safety performance.

Table 12 thru Table 15 lists the failure rates for the FP Solenoid Valves according to IEC 61508 with a Site Safety Index (SSI) of 4 (ideal maintenance practices).

Table 12 DTT Failure rates for Static Applications²¹ with Ideal Maintenance Assumption in FIT (SSI=4)

| Application/Device/Configuration | λ_{SD} | λ _{SU} ²² | λ_{DD} | $\lambda_{	extsf{DU}}$ | # | E |
|---|-----------------------|-------------------------------|----------------|------------------------|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT | 0 | 107 | 0 | 69 | 270 | 110 |
| FP03P, FP06P and FP10P; NC, DTT | 0 | 85 | 0 | 52 | 162 | 119 |
| FP15; NC, DTT | 0 | 160 | 0 | 114 | 560 | 151 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT; with automated PVST | 103 | 4 | 61 | 8 | 270 | 110 |
| FP03P, FP06P and FP10P; NC, DTT; with automated PVST | 81 | 4 | 46 | 6 | 162 | 119 |
| FP15; NC, DTT; with automated PVST | 155 | 5 | 102 | 12 | 560 | 151 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT | 0 | 77 | 0 | 110 | 264 | 116 |
| FP03P, FP06P and FP10P; NO, DTT | 0 | 75 | 0 | 70 | 155 | 119 |
| FP15; NO, DTT | 0 | 98 | 0 | 195 | 563 | 151 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT; with automated PVST | 73 | 4 | 98 | 12 | 264 | 116 |
| FP03P, FP06P and FP10P; NO, DTT; with automated PVST | 71 | 4 | 62 | 8 | 155 | 119 |
| FP15; NO, DTT; with automated PVST | 94 | 4 | 174 | 21 | 563 | 151 |

²¹ Static Application failure rates are applicable if the device is static for a period of more than 200 hours.

²² It is important to realize that the No Effect failures are no longer included in the Safe Undetected failure category according to IEC 61508, ed2, 2010.



Table 13 ETT Failure rates for Static Applications²³ with Ideal Maintenance Assumption in FIT (SSI=4)

| Application/Device/Configuration | $\lambda_{	ext{SD}}$ | λ _{SU} ²⁴ | $\lambda_{	extsf{DD}}$ | $\lambda_{	extsf{DU}}$ | # | E |
|---|----------------------|-------------------------------|------------------------|------------------------|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT | 0 | 22 | 0 | 137 | 264 | 110 |
| FP03P, FP06P and FP10P; NC, ETT | 0 | 18 | 0 | 106 | 155 | 119 |
| FP15; NC, ETT | 0 | 64 | 0 | 191 | 563 | 151 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT; with automated PVST | 18 | 4 | 128 | 9 | 264 | 110 |
| FP03P, FP06P and FP10P; NC, ETT; with automated PVST | 14 | 4 | 99 | 7 | 155 | 119 |
| FP15; NC, ETT; with automated PVST | 57 | 7 | 178 | 13 | 563 | 151 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT | 0 | 62 | 0 | 124 | 264 | 116 |
| FP03P, FP06P and FP10P; NO, ETT | 0 | 43 | 0 | 102 | 155 | 119 |
| FP15; NO, ETT | 0 | 94 | 0 | 201 | 560 | 151 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT; with automated PVST | 59 | 4 | 115 | 9 | 264 | 116 |
| FP03P, FP06P and FP10P; NO, ETT; with automated PVST | 40 | 4 | 95 | 7 | 155 | 119 |
| FP15; NO, ETT; with automated PVST | 90 | 4 | 184 | 17 | 560 | 151 |

Static Application failure rates are applicable if the device is static for a period of more than 200 hours.
²⁴ It is important to realize that the No Effect failures are no longer included in the Safe Undetected failure category according to IEC 61508, ed2, 2010.



Table 14 DTT Failure rates for Dynamic Applications²⁵ with Ideal Maintenance Assumption in FIT (SSI=4)

| Application/Device/Configuration | λ _{SD} | λ _{su} | λ_{DD} | λου | # | E |
|---|-----------------|-----------------|----------------|-----|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT | 0 | 109 | 0 | 45 | 285 | 116 |
| FP03P, FP06P and FP10P; NC, DTT | 0 | 87 | 0 | 38 | 169 | 128 |
| FP15; NC, DTT | 0 | 164 | 0 | 74 | 596 | 157 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, DTT; with automated PVST | 105 | 4 | 39 | 6 | 285 | 116 |
| FP03P, FP06P and FP10P; NC, DTT; with automated PVST | 83 | 4 | 33 | 5 | 169 | 128 |
| FP15; NC, DTT; with automated PVST | 159 | 5 | 65 | 9 | 596 | 157 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT | 0 | 76 | 0 | 84 | 278 | 116 |
| FP03P, FP06P and FP10P; NO, DTT | 0 | 76 | 0 | 53 | 161 | 128 |
| FP15; NO, DTT | 0 | 97 | 0 | 149 | 592 | 157 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, DTT; with automated PVST | 73 | 4 | 74 | 10 | 278 | 116 |
| FP03P, FP06P and FP10P; NO, DTT; with automated PVST | 73 | 4 | 47 | 7 | 161 | 128 |
| FP15; NO, DTT; with automated PVST | 93 | 4 | 133 | 16 | 592 | 157 |

²⁵ Dynamic Application failure rates may be used if the device moves at least once every 200 hours.



Table 15 ETT Failure rates for Dynamic Applications²⁶ with Ideal Maintenance Assumption in FIT (SSI=4)

| Application/Device/Configuration | λ _{SD} | λ _{su} | λ_{DD} | λου | # | E |
|---|-----------------|-----------------|----------------|-----|-----|-----|
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT | 0 | 71 | 0 | 75 | 278 | 116 |
| FP03P, FP06P and FP10P; NC, ETT | 0 | 68 | 0 | 52 | 161 | 128 |
| FP15; NC, ETT | 0 | 114 | 0 | 111 | 592 | 157 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NC, ETT; with automated PVST | 66 | 5 | 68 | 7 | 278 | 116 |
| FP03P, FP06P and FP10P; NC, ETT; with automated PVST | 63 | 5 | 47 | 5 | 161 | 128 |
| FP15; NC, ETT; with automated PVST | 107 | 7 | 102 | 9 | 592 | 157 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT | 0 | 64 | 0 | 96 | 278 | 116 |
| FP03P, FP06P and FP10P; NO, ETT | 0 | 45 | 0 | 85 | 161 | 128 |
| FP15; NO, ETT | 0 | 97 | 0 | 152 | 589 | 157 |
| FP01, FP02, FP03, FP04, FP05, FP02G and FP05G; NO, ETT; with automated PVST | 61 | 4 | 90 | 6 | 278 | 116 |
| FP03P, FP06P and FP10P; NO, ETT; with automated PVST | 41 | 4 | 79 | 5 | 161 | 128 |
| FP15; NO, ETT; with automated PVST | 92 | 4 | 140 | 12 | 589 | 157 |

²⁶ Dynamic Application failure rates may be used if the device moves at least once every 200 hours.